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Sparse representation for restoring images by exploiting topological structure of graph of patches

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Abstract: Image restoration poses a significant challenge, aiming to accurately recover damaged images by delving into their inherent characteristics. Various models and algorithms have been explored by researchers to address different types of image distortions, including sparse representation, grouped sparse representation, and low-rank self-representation. The grouped sparse representation algorithm leverages the prior knowledge of non-local self-similarity and imposes sparsity constraints to maintain texture information within images. To further exploit the intrinsic properties of images, this study proposes a novel low-rank representation-guided grouped sparse representation image restoration algorithm. This algorithm integrates self-representation models and trace optimization techniques to effectively preserve the original image structure, thereby enhancing image restoration performance while retaining the original texture and structural information. We evaluate the proposed method on image denoising and deblocking tasks across several datasets, demonstrating promising results.

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#### Introduction 1 1

2 Image restoration serves as a fundamental task in image processing, aiming to reconstruct or recover the original image from degraded 3 or corrupted signals [1]. This field has garnered extensive research 39 4 5 attention and can generally be formulated as follows:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{E} \tag{1} \begin{array}{c} 42\\ 43 \end{array}$$

Here, X, Y, and E represent the original, degraded, and noise 6 45 components of the image, respectively, while H denotes the degrada-7 tion matrix. The restoration problem represented by Eq. (1) can vary 8 47 significantly depending on the degradation matrix  $\mathbf{H}$ . For instance, <sub>48</sub> 9 an identity matrix for H corresponds to image denoising [2], a diag-10 49 11 onal masking corresponds to image inpainting [3], and a blurring 50 operator corresponds to image deblurring [4]. 12

Image priors play a crucial role in image restoration, including 13 52 total variation (TV) [5-7], sparsity [2, 8], low-rank [9-11], and 14 deep image prior [12-20]. Particularly, sparsity prior is considered 15 remarkable for natural images [2, 8, 21-24]. Current algorithms, 16 55 based on strategies for manipulating sparsity prior, are roughly 17 divided into two classes: patch-based [2, 25, 26] and group-based 18 approaches [8, 22, 27-29]. 19

Patch-based image restoration has received considerable attention 20 over the past decades [2, 30]. These algorithms aim to identify low-21 dimensional representations (patch codes) under the assumption that 22 61 each patch can be modeled with a linear combination of learned 23 basis elements, known as a dictionary [2]. Dictionary strategies typ-24 ically fall into two categories: analytic and learning-based. Analytic 25 approaches include discrete cosine transform (DCT), wavelet, and 26 65 curvelet [31]. Compared to traditional analytic methods, dictionar-27 ies learned from images are more adaptive and accurate since they 28 comprehensively depict the local structure of images. For instance, 29 the widely-used dictionary learning method K-SVD [30] exhibits 30 69 strong adaptability and has been successfully applied to tasks like 31 70 32 image denoising [2, 30]. Furthermore, by imposing sparse constraints on patch representations, patch-based sparse representation 33 72 (PSR) achieves excellent performance for image restoration, where 34

each patch is represented with a linear combination of a few atoms from the learned dictionary.

However, patch-based methods have been criticized for independently learning dictionaries and representations for each patch, leading to two significant limitations. Firstly, these methods are computationally time-consuming, hindering their application to large-scale image datasets. Secondly, they only exploit the intrinsic structure of each patch, disregarding the correlation among various patches, namely non-local self-similarity (NSS). To address these issues, group-based approaches, such as group sparse representation (GSR) [27, 28, 32], learn sparse coding and dictionaries from groups of similar patches, where strong correlations among them can be captured. In recent years, with the continuous development of deep neural networks (DNN), many image restoration methods based on DNN have emerged. [33] proposes a retractable transformer architecture based on attention mechanisms, which dynamically adjusts attention across different layers to restore images details more precisely. [34] proposes sparse transformer to solve deraining problems adaptively. The model leverage multi-scale features to improve the efficiency of removing rain streaks. [35] efficiently captures long-rang dependencies and preserves fine image details, enabling effective image restoration while reducing computational complexity. The image restoration algorithm based on DNN essentially achieves implicit patch similarity computation through the combination and cascading of linear layers (especially convolutional neural networks) and nonlinear layers.

Compared to patch-based methods, GSR models [25, 26] demonstrate outstanding performance in image restoration. For example, BM3D [26] performs collaborative filtering on groups of 3D patches. Mairal et al. [32] proposed LSSC, which simultaneously sparse encodes similar patches in a certain transform domain to enforce similar coefficients. Zhang et al. [27] introduced a GSR-based model for image restoration, designing a self-adaptive dictionary for image patch groups and solving sparse coding with  $\ell_0$  minimization. Xu et al. [36] learned an NSS prior for patch groups based on external image databases before image denoising, achieving excellent results when the distribution of external patch groups and target image patch groups is similar. To preserve the characteristics of

- 73 the target image itself, a series of models combining internal and 130
- external priors are proposed [37, 38]. To obtain more correct spar- 131

rs sity solutions for image restoration, Wang et al. [29] incorporated nonconvex weighted  $\ell_p$  minimization into the GSR framework for

<sup>77</sup> image denoising. To avoid learning dictionaries from image patches,

78 principal component analysis (PCA) is adopted to construct dictio- <sup>132</sup>

<sup>79</sup> naries [27, 29]. Recently, Zha et al. [39] proposed the LGSR model,

80 utilizing low-rankness to guide dictionary learning.

However, these group sparse representation models simply group 81 similar image patches without fully exploiting the relationships 82 between these patches and ignoring the specificity among patches 133 83 within the same group. To address these issues, we propose a  $_{134}$ 84 85 graph learning-guided group sparse representation image restora- 135 tion algorithm. Firstly, this algorithm characterizes the similarity 86 relationships between image patches through graph learning and per-87 forms initial reconstruction of the image to enhance the performance 88 of subsequent sparse representation learning. Secondly, low-rank 89 90 constraints are imposed during graph learning to fully explore the sub-group structure of the same group of image patches. Finally, to 136 91 ensure that the learned representation satisfies sparsity while pre-92 serving the original similarity structure between image patches, the 93 137 94 algorithm introduces trace optimization regularization. Extensive experiments are conducted to validate the superiority of the proposed 95

 algorithm over some currently popular image restoration algorithms.
 The following is a summary of this research's main contribu-140

98 tions.

To enhance the quality of sparse representation learning, this study 141
 utilizes a graph learning model to characterize the similarity relation- 142
 ships between image patches and employs this model for the initial 143
 reconstruction of the image. 144

103 - In order to fully exploit the relationships between image patches  $_{145}$ 

while preserving the specificity of each patch, low-rank constraints
 are imposed during the graph learning process to identify sub-group
 structures within the same group of image patches.

107 - To ensure that the learned representation maintains sparsity while

<sup>108</sup> preserving the original similarity structure between image patches, <sup>1</sup>

this paper introduces a structural preservation regularization term

into the model, thereby further improving the interpretability of sparse representation.

- Extensive experiments on two image restoration tasks, namely

113 image denoising and inpainting, are conducted to thoroughly vali- 147

114 date the effectiveness and superiority of the proposed algorithm.

115 The remaining sections of this article is arranged as follows. Section

116 2 introduces the preliminaries, Section 3 elaborates the proposed

algorithm for image restoration in detail, Section 4 presents the 148
experimental results, and conclusions are drawn in Section 5.

#### 119 2 Preliminaries

<sup>151</sup> In this section, we will present the notations and preliminaries that <sup>151</sup> are going to be used for the rest of the paper.

#### 122 2.1 Notations

123 Let the bold upper, bold lower, and lower-case letters denote matri-

- 124 ces, vectors, and scalars, respectively. Let  $\mathbf{X} \in \mathbb{R}^{n \times m}$  be a  $n \times m$ 125 matrix, and  $\mathbf{x} \in \mathbb{R}^d$  be a vector with d elements, respectively.  $\mathbf{X}'$  is
- 126 the transpose of matrix  $\mathbf{X}$ .

127 The Frobenius norm of matrix **X** is defined as

$$\|\mathbf{X}\| = \sqrt{tr(\mathbf{X}'\mathbf{X})} = \sqrt{tr(\mathbf{X}\mathbf{X}')},$$
(2) 156
(2) 156

where  $tr(\mathbf{X})$  is the trace of matrix  $\mathbf{X}$ .  $\ell_0$ -norm of vector  $\mathbf{x}$  is defined as the number of non-zero elements in  $\mathbf{x}$ , i.e.,

$$\|\mathbf{x}\|_0 = \sum_i |x_i|^0. \tag{3} \begin{array}{c} {}^{157}_{158} \\ {}^{159}_{159} \end{array}$$

 $\ell_1$ -norm of vector **x** is the sum of absolute values of elements in **x**, i.e.,

$$\|\mathbf{x}\|_1 = \sum_i |x_i|. \tag{4}$$

 $\ell_p$ -norm (0 x is defined as

$$\|\mathbf{x}\|_{p} = (\sum_{i} |x_{i}|^{p})^{1/p}.$$
(5)

 $\|\mathbf{X}\|_0$ ,  $\|\mathbf{X}\|_1$  and  $\|\mathbf{X}\|_p$  denotes imposing  $\ell_0$ -norm,  $\ell_1$ -norm, and  $\ell_p$ -norm on each column of matrix  $\mathbf{X}$ , respectively. Nuclear norm of matrix  $\mathbf{X}$  is defined as

$$\|\mathbf{X}\|_{*} = \sum_{i=1}^{\min(m,n)} |\lambda_{i}|,$$
(6)

where  $\lambda_i$  is the *i*-th singular value of matrix **X**.

#### 2.2 Image Restoration

To simplify the model, we set the degradation matrix  $\mathbf{H}$  as the identity matrix. Then, given a degraded image  $\mathbf{Y}$ , image restoration is formulated as

$$\mathbf{Y} = \mathbf{X} + \mathbf{E},\tag{7}$$

where **X** and **E** denote the original image and additive noise, respectively. Without loss of generality, image prior is denoted by  $\theta$ and then maximum a posteriori (MAP) framework [8, 27, 40] is employed, i.e., a posteriori function of the form  $\log p(\mathbf{X}|\mathbf{Y},\theta)$  is maximized

$$\log p(\mathbf{X}|\mathbf{Y}) = \log p(\mathbf{Y}|\mathbf{X},\theta) + \log p(\mathbf{X}|\theta).$$
(8)

The likelihood term is the Gaussian distribution [8]

$$p(\mathbf{Y}|\mathbf{X}, \theta) = \frac{1}{\sqrt{2\pi}\sigma_E} \exp(-\frac{1}{2\sigma_E^2} \|\mathbf{Y} - \mathbf{X}\|^2), \quad (9)$$

where  $\sigma_E^2$  is the noise variance. And then Eq. (8) is equal to

$$\min_{\mathbf{X}} \frac{1}{2} \|\mathbf{Y} - \mathbf{X}\|^2 + \sigma_E^2 \Theta(\mathbf{X}), \tag{10}$$

where  $\Theta(\mathbf{X})$  is regularization term derived from prior  $\theta$ .

#### 2.3 Sparse Representation

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Given features  $\mathbf{d}_1, \ldots, \mathbf{d}_n$ , representation learning for a vector  $\mathbf{x}$  aims to obtain a linear function such that

$$\mathbf{x} \approx a_1 \mathbf{d}_1 + \dots + a_n \mathbf{d}_n,\tag{11}$$

where  $a_i$  is the coefficient for feature  $\mathbf{x}_i$ . Eq.(11) is solved by minimizing approximation, i.e.,

$$\min\frac{1}{2}\|\mathbf{x} - \mathbf{D}\mathbf{a}\|^2,\tag{12}$$

where  $D = [\mathbf{x}_1, \dots, \mathbf{x}_n]$ , and  $\mathbf{a} = (a_1, \dots, a_n)'$ , respectively. The sparse representation learning expects most of coefficients are 0, where Eq.(11) is formulated as

$$\min \frac{1}{2} \|\mathbf{x} - \mathbf{D}\mathbf{a}\|^2 + \alpha \|\mathbf{a}\|_0, \tag{13}$$

where  $\alpha$  is a parameter.

Furthermore, extension for sparse representation learning is needed. When multiple objects involve, i.e.,  $\mathbf{X} = [\mathbf{x}_1, \dots, \mathbf{x}_n]$ , GSR

simultaneously handles n objects into an objective function, where 218 Eq.(13) is re-written as 219

$$\min \frac{1}{2} \|\mathbf{X} - \mathbf{D}\mathbf{A}\|^2 + \alpha \|\mathbf{A}\|_0, \tag{14}$$

where  $\|\mathbf{A}\|_0$  is regularization item, denotes imposing  $\ell_0$ -norm on each column of  $\mathbf{A}$ .

There are various strategies for constructing sparsity, i.e.,  $\ell_1$ -norm 164 222 [41][42], and  $\ell_p$ -norm (0 \ell\_0 and  $\ell_1$  [43][44]. In 165 223 summary, sparse representation methods assume that image patches 166 or pixels can be represented by a small number of basis elements 224 167 (atoms). And graph-based sparse representation methods decompose <sup>225</sup> 168 the image into sparse components while considering the graph struc-169 ture of the image. Graph-based Sparse Coding exploits the graph 227 170 structure to encourage similarity between adjacent patches, allow-171 ing for the recovery of missing parts of the image by leveraging the 172

173 underlying relationships in the graph.

# 174 **3** Proposed Method

175 In this section, we present the proposed method in detail, encom-

176passing the restoration model, optimization, parameter selection, and177discussion on its computational complexity.229

178 The overview of the proposed algorithm is illustrated in Fig. 1, 230 which comprises four major components: patch grouping, sparse 231 179 representation learning, low-rank self-representation, and structure 232 180 preservation. Patch grouping divides sub-blocks of the original 233 181 images into different classes, where patches within the same groups 234 182 exhibit high similarity. The low-rank self-representation module 235 183 184 conducts self-representation learning through original image blocks 236 and initiates the reconstruction of the image blocks. Group sparse 237 185 representation learning projects each group of image blocks into a 238 186 subspace spanned by dictionary matrix columns to obtain the repre- 239 187 sentation of the image block, while the structure preservation module 240 188 aims to ensure that the learned sparse representation maintains the 241 189 original similarity structure of the image. 190 242

#### 191 3.1 Restoration Model

In the patch grouping block, like other GSR-based restoration models [27, 28, 32], a patch-matching based approach is utilized. Specif- <sup>243</sup> ically, the degraded image **Y** is divided into patches, where the size <sup>244</sup> of patches varies with downstream applications. For each reference <sup>245</sup> patch, the closest *m* patches within window of  $l \times l$  are selected as <sup>246</sup> a group, where patches belonging to multiple groups are allowed.

To ensure the quality of groups, the step size of selected reference patches is small, where window size is large. In general, we set step size of selection reference patches as 3 or 4, and that of windows as  $25 \times 25$ . By stacking pixels each reference patch is denoted as  $\overline{y}_i$ , and the corresponding patch group is  $Y_i$ , where each column corresponds to a patch within the group.

In the sparse representation learning block, the most intuitive strategy is to project each group of patches into a subspace, where the  $_{247}$ the low-dimensional representation of patches is obtained. Specif- $_{248}$ ically, given patch group  $\mathbf{Y}_i$ , the low-dimensional representation  $_{249}$ of patches is learned by minimizing the approximation, which is formulated as

$$\mathcal{O}(\mathbf{Y}_i) = \frac{1}{2} \|\mathbf{Y}_i - \mathbf{D}_i \mathbf{A}_i\|^2,$$
(15)

where  $\mathbf{D}_i$  and  $\mathbf{A}_i$  denotes the dictionary and coefficient matrix of  $\mathbf{Y}_i$ , respectively. Sparse representation learning [30] expects the learned  $\mathbf{A}_i$  is sparse, i.e., the most elements are 0, which improves computational efficiency and interpretability of solutions. By imposing  $\ell_1$ -norm constraint to coefficient matrix  $\mathbf{A}_i$ , Eq.(15) is reformulated as

$$\mathcal{O}(\mathbf{Y}_i) = \frac{1}{2} \|\mathbf{Y}_i - \mathbf{D}_i \mathbf{A}_i\|^2 + \beta \|\mathbf{A}_i\|_1,$$
(16) 251  
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where parameter  $\beta$  determines the relative importance of sparsity 253 constraint. Recently, evidence [43][44] demonstrates that  $\ell_p$ -norm 254 overcomes limitation of  $\ell_1$ -norm to fulfill sparsity of representation. Therefore, Eq.(16) is re-written as

$$\mathcal{O}(\mathbf{Y}_i) = \frac{1}{2} \|\mathbf{Y}_i - \mathbf{D}_i \mathbf{A}_i\|^2 + \beta \|\mathbf{A}_i\|_p.$$
(17)

In order to achieve better image restoration effects, in addition to utilizing image sparsity, the non-local self-similarity of the image should also be considered. This chapter uses low-rank selfrepresentation to characterize the non-local self-similarity of images, that is, an image block can be represented by a linear combination of similar image blocks, and the coefficient matrix satisfies the block diagonal structure (low rank). Based on the group sparse representation model, this chapter introduces the low-rank self-representation feature, and obtains

$$O(Y_i) = \frac{1}{2} ||Y_i - D_i A_i||^2 + \beta ||A_i||_p + \frac{\gamma}{2} ||D_i A_i - Z_i||^2 + \tau ||W_i||_*$$
(18)  
s.t.  $Z_i = Z_i W_i, W_i = W'_i,$ 

where  $Z_i$  is an intermediate auxiliary variable,  $W_i$  is a self-represented sparse matrix, and  $||W_i||_*$  represents the nuclear norm of  $W_i$ . Different from the method proposed in the previous chapter, low-rank self-representation learning is used here to guide the learning of dictionary matrices and sparse representations at the same time, thereby further improving the quality and interpretability of sparse representation learning.

In order to prevent over-smoothing, this paper hopes that the learned sparse representation satisfies the sparsity constraints while still maintaining the similarity structure between the original image blocks. First, we perform self-representation learning on the sparse representation  $A_i$ , and the obtained self-representation matrix is as close as possible to the self-representation of the original image block, that is, minimizing

$$O(A_i) = ||A_i - A_i S_i||^2 - Tr(W'_i S_i),$$
(19)

where  $S_i$  is the self-representation matrix of sparse coding  $A_i$ .  $Tr(\cdot)$  represents the trace of the matrix,  $Tr(W'_iS_i)$  measures the similarity between matrices  $W_i$  and  $S_i$ . The combined expressions (18) and (19) can be obtained

$$O(Y_i) = \frac{1}{2} ||Y_i - D_i A_i||^2 + \beta ||A_i||_p + \lambda (||A_i - A_i S_i||^2 - Tr(W'_i S_i)) + \frac{\gamma}{2} ||D_i A_i - Z_i||^2 + \tau ||W_i||_*$$
(20)  
s.t.  $Z_i = Z_i W_i, W_i = W'_i,$ 

Without loss of generality, the above prior model can be substituted into the general image restoration framework 10 to obtain

$$O(Y) = \frac{1}{2} ||Y - X|| + \sum_{i} \{\frac{\alpha}{2} ||Q_{i}X - D_{i}A_{i}||^{2} + \beta ||A_{i}||_{p} + \frac{\gamma}{2} ||D_{i}A_{i} - Z_{i}||^{2} + \tau ||W_{i}||_{*} + \lambda (||A_{i} - A_{i}S_{i}||^{2} - Tr(W'_{i}S_{i}))\}$$
  
s.t.  $Z_{i} = Z_{i}W_{i}, W_{i} = W'_{i}, \forall i$  (21)

where  $Q_i$  represents the matrix operator for extracting the *i*th group of image blocks in image X, that is,  $Q_i X = X_i$ . Given the degradation image Y, the restored image X can be obtained by solving the above equation. The next section will introduce the optimization process of solving this objective function.



Fig. 1: Overview of the proposed image restoration algorithm, which consists of three major parts, including patch grouping, sparse representation learning and group residual learning.

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#### 255 3.2 Optimization

Due to the non-convex nature of the nuclear norm and  $l_p$  norm, the objective function (21) cannot directly yield an analytical solution. Therefore, this paper employs an alternating iteration strategy for 279 optimization, wherein a single variable is optimized while keeping other variables fixed until convergence or reaching the termination condition.

 $\begin{array}{ll} & 1) \ Update \ Z_i \ and \ weight \ matrix \ W_i: \ Fixing \ X \ and \ A_i, \ and \ simul- \ _{280} \\ & \text{taneously eliminating irrelevant terms, the objective function (21) for } \\ & W_i \ can \ be \ equivalently \ expressed \ as \end{array}$ 

$$\frac{\gamma}{2} ||D_i A_i - Z_i||^2 + \tau ||W_i|| * \quad \text{s.t. } Z_i = Z_i W_i, , W_i = W'i.$$
(22) 283

According to the literature [45], equation (22) can be efficiently solved by performing singular value decomposition on  $D_i A_i$ , i.e.,  $D_i A_i = U_i \Sigma_i V'i$ , where  $\Lambda_i = diag(\lambda_i)$  is a diagonal matrix containing singular values, and  $U_i$  and  $V_i$  represent the left and right singular matrices, respectively. Then, the optimal solution for  $Z_i$  in <sup>284</sup> equation (22) can be expressed as <sup>285</sup>

$$\hat{Z}_i = Ui1\Sigma i1V'i1,$$
 (23)  $\frac{^{287}}{^{288}}$ 

where  $\Sigma_{i1}$  contains singular values greater than  $\sqrt{\frac{2\tau}{\gamma}}$ , while  $U_{i1}$  and 290  $V_{i1}$  contain the corresponding singular vectors. The optimal solution 291 for  $W_i$  is 292

$$\hat{W}_i = V_{i1} V'_{i1}.$$
 (24)

For the proof of the optimal solution of the above formula, please refer to the literature[45].

276 2) Update the weight matrix  $S_i$ : Eliminate terms irrelevant to  $S_i$ , <sup>294</sup> 277 and the objective function (21) can be simplified to

$$O(A_i) = ||A_i - A_i S_i||^2 - \text{Tr}(W'_i S_i).$$
(25)

Taking the partial derivative of  $S_i$  yields

$$A_i'A_i + A_i'A_iS_i - W_i = 0.$$
 (26)

Setting it to 0, we obtain the update formula for  $S_i$  as

$$\hat{S}_i = (A'_i A_i)^{-1} (A'_i A_i + W_i).$$
(27)

3) Update group sparse representation  $A_i$ : Eliminate terms irrelevant to  $A_i$ , and the objective function (21) can be simplified to

$$\frac{\alpha}{2}||X_i - D_i A_i||^2 + \frac{\gamma}{2}||D_i A_i - Z_i||^2 + \frac{\eta}{2}||A_i - A_i S_i||^2 + \beta||A_i||_p.$$
(28)

By merging the first two terms, we obtain

$$\frac{1}{2}||G_i - D_i A_i||^2 + \frac{\eta}{2}||A_i - A_i S_i||^2 + \beta||A_i||_p, \quad (29)$$

where  $G_i = (\alpha X_i + \gamma Z_i)/(\alpha + \gamma)$ . To better adapt to the local structure of the image, this section adopts the PCA sub-dictionary strategy, i.e., learning an orthogonal dictionary through each group  $G_i$ .

After obtaining the dictionary  $D_i$ , the  $l_p$  norm makes the solution of equation (29) non-convex. Therefore, this paper utilizes the generalized soft threshold (GST) algorithm [46], an efficient iterative strategy to obtain approximate solutions. Specifically, the update rule for  $A_i$  can be expressed as

$$\hat{A}_i = \text{GST}(P_i, \mu, p, t), \tag{30}$$

where t represents the number of iterations of the GST algorithm, and the specific definitions of  $P_i$  and  $\mu$  are:

$$\begin{cases} P_i = \alpha (A_i - D'_i X_i) + \gamma A_i (I - S_i - S'_i + S_i S'_i), \\ mu = \frac{\beta}{\alpha + \gamma ||I - S_i||^2}. \end{cases}$$
(31)

295 4) Update the restored image X: By fixing the matrices  $A_i$  and 296  $W_i$ , the objective function (21) can be simplified to

$$\frac{1}{2}||Y-X||^2 + \frac{\alpha}{2}\sum_i ||Q_iX - D_iA_i||^2.$$
 (32)

Since equation ((32)) is convex with respect to X, setting the partial derivative of (32) with respect to X to 0 yields the exact solution as

$$\hat{X} = (I + \alpha \sum_{i} Q'_{i} Q_{i})^{-1} (Y + \alpha \sum_{i} Q'_{i} D_{i} A_{i}), \qquad (33)$$

where  $D_i A_i$  represents the reconstruction of the image patch group  $X_i$ , and  $Q'_i$  can be regarded as a matrix operator that puts the reconstructed image patch group back into the original image. In fact,  $(I + \alpha \sum_i Q'_i Q_i)$  is a diagonal matrix, and its inverse can be obtained by element-wise division. Therefore, equation (33) can be regarded as superimposing the reconstructed image patches and performing a weighted average with the degraded image to obtain the restored image.

## 307 3.3 Parameter Selection

In order to obtain the best performance results, this algorithm adopts an adaptive parameter adjustment strategy to enable the proposed <sup>338</sup> algorithm to adapt to various image structures. First, we update the <sup>339</sup> noise variance  $\sigma_E^2$  [6] using an iterative regularization strategy <sup>340</sup>

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Among them, k represents the current number of iterations, and  $c_0$  <sup>345</sup> is a positive constant. <sup>346</sup>

Inspired by the maximum posterior probability framework[8], it 347 is assumed here that the sparse encoding  $A_i$  obeys the Laplace 348 distribution[29, 47], and the sparse residual  $R_i$  obeys the Gaussian 349 distribution, and then the parameters can be obtained. The update 350

318 strategy of  $\beta$  and  $\eta$  is

$$\beta = \frac{\sigma_E^2}{\delta_i + \epsilon},\tag{35}$$

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$$\eta = \frac{\sigma_E^2}{\delta_i^2 + \epsilon},\tag{36}_{353}$$

Among them,  $\delta_i$  represents the standard deviation of  $A_i$ , and its estimation method can be found in the document [10]. At the same time,

 $\epsilon$  represents a very small constant to prevent the denominator from

323 being zero.

In addition, the parameters  $\alpha$  and  $\gamma$  adopt the following update strategy:

$$\alpha = c_1 \sigma_E^2, \tag{37} 358$$

$$\gamma = c_2 \sigma_E^2. \tag{38) 361}$$

Where  $c_1$  and  $c_2$  both represent positive constants. Formulas(37) and (38) mean that the parameters  $\alpha$  and  $\gamma$  are respectively proportional to the standard deviation  $\delta_i$  of the noise variance  $\sigma_E^2$ .

#### 330 *3.4 Method Overview*

To sum up, the image restoration algorithm proposed in this chap- $\frac{1}{399}$ ter can be realized through the above-mentioned alternating update  $\frac{1}{370}$ steps and parameter adaptive adjustment mechanism. The pseu- $\frac{1}{371}$ docode of the proposed algorithm is shown in Algorithm 1.  $\frac{1}{372}$ 

### 335 3.5 Computational Complexity Analysis

In this subsection, we analyze the computational complexity of the 376 proposed method theoretically. Concerning the spatial complexity, 377

# Algorithm 1 The proposed algorithm for image restoration.

Input: The degraded image Y. 1: Initialize  $\hat{\mathbf{X}}^{(0)} = \mathbf{Y}, k = 0, \sigma_E^{(0)}$ . Set the parameters  $c_0$ ,  $c_1$ ,  $c_2$  and p. 2: 3: while  $k \leq =$  Max-Iter do for each reference patch  $\mathbf{x}_i$  do in  $\hat{\mathbf{X}}^{(k)}$ 4: 5: Search similar patches to construct patch group  $X_i$ . 6: Update  $Z_i$  and  $W_i$  by (23) and (24). 7: Update  $\alpha$  and  $\gamma$  by (37) and (38). 8: Construct the dictionary  $\mathbf{D}_i$  through PCA on  $\mathbf{G}_i$  by (29). 9. Update  $\mathbf{A}_i$  by  $\mathbf{A}_i = \mathbf{D}_i \mathbf{X}_i$ . Update  $S_i$  by (27). 10: Update  $\beta$  and  $\eta$  by (35) and (36). 11: 12: Update  $A_i$  by (30). 13: end for Update  $\hat{\mathbf{X}}^{(k)}$  by (33). Update  $\sigma_E^{(k)}$  by (34). 14: 15: Until convergence conditions are met. 16: 17: end while **Output:** The restored image **X**.

the proposed algorithm requires space complexity of  $O(m^2n)$ . The matrices  $W_i$  and  $S_i$  for each image block group require space of  $O(m^2)$ . The space complexity for  $A_i$ ,  $X_i$ , and  $Z_i$  for each image block group is O(dm), where d represents the number of rows in  $A_i$ ,  $X_i$ , and  $Z_i$ . Therefore, the total spatial complexity of the proposed algorithm is  $O(m^2n)$ , where n is the number of image block groups.

Regarding the time complexity, it comprises four main components: 1) Low-rank self-representation learning, 2) Structure preservation, 3) Sparse representation learning, and 4) Image reconstruction. The time complexity for updating  $W_i$  is  $O(tnm^3)$ , where t is the number of iterations. The time complexity for updating  $S_i$ is  $O(tnm^3)$ . The time complexity for updating  $A_i$  is O(tndm). The time complexity for image group reconstruction is  $O(tnb^2m)$ . Hence, the total time complexity of the algorithm is  $O(tnm^3)$ .

# 4 Experimental Results

To fully validate the performance of the proposed algorithms, extensive experiments are conducted on two typical image restoration tasks: denoising and deblocking.

## 4.1 Experimental Setting

*1) Benchmark:* As the proposed algorithm follows a self-supervised learning approach, only test datasets are required to validate the performance of the proposed algorithm.

For the image denoising task, experiments are conducted on three datasets: commonly used test images (including 13 natural images, as shown in Figure ??), the Set12 benchmark dataset [48] (consisting of 12 grayscale images), and the DND dataset [49]. DND consists of 50 high-resolution images with realistic image noise, and the DND images have been resized to  $256 \times 256$ . For the scene with manmade noise (the first two datasets), Gaussian noise at various levels is added to the original images to generate noisy images, which are then used for testing. For DND, there is no need to generate noisy images via manually adding Gaussian noise. Additionally, several real noisy images are also selected for experiments to thoroughly validate the algorithmâĂŹs effectiveness.

For the image deblocking task, two widely used datasets are employed: the LIVE1 dataset [50] and the Classic5 dataset [51], comprising 29 and 5 natural images, respectively. Each test image is first encoded using the MATLAB JPEG encoder at different compression quality levels Q. Subsequently, the compressed images are decoded using a standard JPEG decoder to obtain the input images for experimentation. Besides the LIVE1 and Classic5 datasets, 8 fin gerprint images are also used to further validate the superiority of
 the proposed algorithm.

This experimental setup ensures comprehensive evaluation of the proposed algorithm's performance across various image restoration tasks.

384 2) Parameter Setting: For image denoising, the parameter settings of the proposed algorithm are as follows: when the noise level 385  $\sigma_E$  is  $\leq 30, 30 < \sigma_E \leq 50$ , and  $50 < \sigma_E \leq 100$ , the patch sizes 386 are set to  $7 \times 7$ ,  $8 \times 8$ , and  $9 \times 9$ , respectively. When  $\sigma_E \leq 30$ , 387  $30 < \sigma_E \le 40, \ 40 < \sigma_E \le 50, \ 50 < \sigma_E \le 75, \ \text{and} \ 75 < \sigma_E \le 50$ 388 100, the number of patches per group is set to 60, 70, 80, 90, and 100, 389 390 respectively. When  $\sigma_E \leq 30, 30 < \sigma_E \leq 40$ , and  $40 < \sigma_E \leq 100$ , the parameter p is set to 0.8, 0.85, and 0.9, respectively. 391

For image deblocking, the patch size is set to  $7 \times 7$ . The number of similar patches per group is set to 60. When the compression quality Q is  $\leq 10, 10 < Q \leq 20$ , and  $20 < Q \leq 40$ , the parameter p is set to 0.9, 0.8, and 0.2, respectively.

#### 396 4.2 Compared Methods

In the image denoising task, the proposed algorithm is compared 397 with several state-of-the-art denoising methods, including BM3D 398 [26], LSSC [32], EPLL [52], LPCA [53], NCSR [8], aGMM [54], 399 NLN-CDR [55], SNSS [56], and LGSR [39]. Among them, algo-400 401 rithms such as BM3D [26], LSSC [32], EPLL [52], LPCA [53], aGMM [54], and NLN-CDR [55] utilize the prior of non-local self-402 similarity in images. The SNSS [57] algorithm further incorporates 403 115 404 non-local self-similarity prior knowledge obtained through an exter-446 405 nal image database. Particularly, the NCSR [8] algorithm and the 447 406 proposed algorithm in this paper are both based on sparse rep-448 resentation models and utilize non-local self-similarity in images 407 for algorithmic improvement. Additionally, the proposed algorithm  $\frac{449}{450}$ 408 is compared with several deep learning-based denoising models, 409 including TRND [58], DnCNN [48], and S2S [59]. Among these 410 452 models, TRND and DnCNN are supervised learning models, while 411 453 S2S is a self-supervised learning algorithm. These deep learning 412 454 models serve as widely adopted baseline models. 413

455 For the image deblocking task, the proposed method is com-414 pared with various classical image deblocking methods, including 415 457 BM3D [26], SA-DCT [60], PC-LRM [61], WNNM [62], ANCE 416 [63], SSR-QC [64], COGL [65], JPG-SR [57], NSSRC [22], as 417 459 well as with deep learning-based deblocking models such as AR-418 CNN [66], TRND [58], DnCNN [48], DCSC [67], and MDDU 460 419 [68]. Among these comparison methods, AR-CNN is a commonly 420 used deep learning baseline model for compression artifact removal, 421 463 while TRND and DnCNN are general-purpose image restoration 422 464 models. Lastly, DCSC and MDDU are the latest and most advanced 423 165 image deblocking models. 424 466

It's worth noting that the experiments in this section were con ducted with the default parameters set by the original authors for
 the compared methods. For deep learning models, the experiments
 were conducted using the pre-trained models provided by the official
 sources.

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# 430 4.3 Image Denoising

Image denoising is the most common and fundamental task in image 475
restoration. To validate the effectiveness of the proposed image 476
restoration algorithm in this chapter, experiments were conducted 477
using MATLAB's random number generator to synthesize Gaussian 478
white noise (GWN) images for testing. Additionally, several real 479
images were selected for denoising testing.

1) Comparison with Classical Image Denoising Methods: The 481 437 438 proposed method and other classical denoising methods were evalu- 482 ated at noise levels  $\sigma_E$  of 20, 30, 40, 50, 75, and 100, respectively. To 483 439 quantify the effectiveness of the algorithms, two evaluation metrics 484 440 441 were used to assess the quality of the restored images: Peak Signal- 485 to-Noise Ratio (PSNR) and Structural Similarity Index (SSIM) [69]. 486 442 Table 1 (PSNR results) and Table 2 (SSIM results) show the denois- 487 443 ing average results of all compared methods on 13 commonly used 488 444



**Fig. 2**: Visualization of algorithms for denoising image*airplane* under  $\sigma_E = 75$  noise: (a) Original image, (b) Noise image, (c) BM3D (PSNR = 23.99 dB, SSIM = 0.7488), (d) EPLL (PSNR = 23.94 dB, SSIM = 0.7168), (e) NCSR (PSNR = 23.77 dB, SSIM = 0.7551), and (f) The proposed algorithm (**PSNR = 24.25 dB, SSIM = 0.7690**).

test images, with the best results highlighted in bold. It is evident that the proposed algorithm outperforms all other compared methods overall in both PSNR and SSIM metrics. Particularly in terms of the SSIM metric, the proposed algorithm significantly outperforms other methods. The SSIM metric primarily focuses on the structural information of images, simulating human perception of image structure, and providing a more accurate assessment of image quality.

Experiments setup on real-word dataset DND follows the main approach outlined in [49]. The algorithms are applied to the space of linear raw intensity(RAW data) and RAW data with a variance stabilizing transformation(VST). After denoising, the results are compared with RAW and sRGB for evaluation respectively. Therefore, there are four separate scenarios in the experiment results, as reported in Table 3 for average PSNR and Table 4 for average SSIM respectively, where the best performance if highlighted in bold. It is obvious that performance is better in scenarios where algorithms are evaluated on the RAW space, regardless which space the algorithm is applied to. Our proposed method outperforms most other baseline methods in nearly all scenarios, except for the third scenario, where both PSNR and SSIM are slightly weaker than BM3D.

Human visual perception is the most intuitive judgment of image quality, which is crucial for evaluating image denoising algorithms. Figures 2 and 3 respectively illustrate the denoising visualization results of the proposed algorithm and other classical algorithms on images Airplane and Miss at noise level  $\sigma_E = 75$ . Among them, BM3D [26] adopts collaborative filtering for denoising, and it can be observed from the images that its result suffers from oversmoothing, leading to the blurring of the original texture structure. The EPLL algorithm [52] denoises based on image distribution, but the denoising result is not ideal, as there are still many artifacts remaining. The NCSR algorithm [8], like the algorithm proposed in this chapter, is based on group sparse representation model. However, it can be seen from the images that although NCSR can effectively remove noise, the image does not retain the original structure clearly, whereas the proposed algorithm in this chapter has addressed this issue as much as possible. Overall, both the SSIM results and the visualization results demonstrate the superiority of the proposed algorithm. This is attributed to the adoption of low-rank self-representation for graph learning and guiding the learning of sparse representation in this algorithm, which allows the learned sparse representation to maintain the original graph structure, thus avoiding oversmoothing to a certain extent.

2) Comparison with DNN-based Image Denoising Models: Deep Neural Networks (DNNs) have achieved significant success in both

Table 1 Average PSNR (dB) results of image denoising compared with classical methods on test image dataset

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	$\sigma_E$	BM3D	LSSC	EPLL	LPCA	NCSR	aGMM	NLN-CDR	SNSS	LGSR	Ours	
	20	31.87	31.98	31.44	31.31	31.85	31.78	31.21	32.09	32.15	32.20	
	30	29.86	29.88	29.88	29.40	29.72	29.69	29.02	30.11	30.21	30.25	
	40	28.25	28.41	27.95	27.48	28.29	28.22	27.84	28.68	28.65	28.77	
	50	27.26	27.26	26.82	26.25	27.16	27.10	26.73	27.62	27.62	27.68	
	75	25.31	25.16	24.82	24.09	25.08	25.02	24.82	25.65	25.67	25.69	
	100	23.92	23.69	23.46	22.61	23.60	23.63	23.58	24.33	24.35	24.30	
[	Average	27.75	27.73	27.40	26.86	27.62	27.57	27.20	28.08	28.11	28.15	

Table 2 Average SSIM results comparing image denoising with classical methods on test image dataset

· _														
Γ	$\sigma_E$	BM3D	LSSC	EPLL	LPCA	NCSR	aGMM	NLN-CDR	SNSS	LGSR	Ours			
Γ	20	0.9014	0.9013	0.8950	0.8935	0.9004	0.8994	0.8905	0.9029	0.9056	0.9062			
	30	0.8659	0.8648	0.8549	0.8526	0.8645	0.8607	0.8357	0.8712	0.8760	0.8764			
	40	0.8303	0.8330	0.8177	0.8145	0.8346	0.8256	0.8183	0.8439	0.8456	0.8477			
Γ	50	0.8058	0.8047	0.7836	0.7789	0.8079	0.7939	0.7879	0.8195	0.8202	0.8231			
Γ	75	0.7440	0.7398	0.7096	0.6988	0.7518	0.7197	0.7320	0.7660	0.7658	0.7687			
Γ	100	0.6925	0.6907	0.6477	0.6277	0.7042	0.6566	0.6964	0.7230	0.7260	0.7262			
Γ	Average	0.8067	0.8057	0.7848	0.7777	0.8106	0.7927	0.7935	0.8211	0.8232	0.8247			



**Fig. 3**: Visualization of algorithms for denoising image*Miss* under  $\sigma_E = 75$  noise: (a) Original image, (b) Noisy image, (c) BM3D (PSNR = 27.34 dB, SSIM = 0.7722), (d) EPLL (PSNR = 26.69 dB, SSIM = 0.7422), (e) NCSR (PSNR = 27.01 dB, SSIM = 0.7927), and (f) the proposed algorithm (**PSNR = 27.55 dB, SSIM = 0.7956**).

Table 5	Average PSNR	(dB) / SSIM resu	Its comparing	j image	denoising
with DNN	I-based methods	on the Set12 da	taset		

Methods	$\sigma_E = 15$	$\sigma_E = 25$	$\sigma_E = 50$	Average
TRND	32.51	30.04	26.78	29.78
	0.8970	0.8523	0.7672	0.8388
DnCNN	32.50	30.17	26.98	29.88
DICINI	0.8966	0.8549	0.7700	$r_E = 50$ Average           26.78         29.78           0.7672         0.8388           26.98         29.88           0.7700         0.8405           26.12         29.38           0.7382         0.8249 <b>27.01 29.90 0.7794 0.8424</b>
525	32.07	29.94	26.12	29.38
323	0.8891	0.8475	0.7382	0.8249
Ours	32.51	30.17	27.01	29.90
Ours	0.8941	0.8538	0.7794	0.8424

high-level understanding and basic processing tasks of images.
Therefore, this section compares the proposed algorithm with several mainstream DNN-based image denoising models, including TRND
[58], DnCNN [48], and S2S [59]. The average results (PSNR and SSIM) on the Set12 dataset are shown in Table 5.

The results indicate that the proposed method outperforms some 494 popular deep image denoising models. For better visualization, this 495 section selects some denoising results at  $\sigma_E = 50$  for visual dis-496 play, as shown in Figures 4 and 5. The denoising results of TRND, 497 DnCNN, S2S, and the proposed method are displayed in the fig-498 ures. It can be observed that deep learning-based methods tend to 499 produce artifacts or oversmoothing during denoising, while the pro-500 posed method can avoid such issues and more clearly restore the 501 details of the image. The results indicate that although supervised 502 deep models can be trained on large-scale datasets to fit the distribu-503 tion of images as much as possible, the generalization ability of this 504 distribution fitting is usually insufficient, resulting in unsatisfactory 505 performance on images dissimilar to the training dataset distribution. 506 These supervised deep models overlook the inherent structural priors 507 of images, such as sparsity and NSS, while the proposed algorithm 508 can effectively utilize these priors to achieve desirable results on 509 various images. Although the S2S model and the proposed method 510 are both self-supervised models, the deep network parameters of the 511 S2S model lead to longer learning times compared to the proposed 512 method. 513

To thoroughly validate the effectiveness of the proposed algorithm, experiments were conducted using real noisy images. As the model proposed in this chapter requires the noise variance of the images as a prior parameter, a fast noise estimation method [70] was employed to obtain the noise variance of the real images in advance. 521 The denoising results of real noisy images are shown in Figure 6. 522

The proposed method is compared with the S2S [59] model, which is 523

**Fig. 4**: Visualization of denoising results of algorithms for image *House* in the Set12 dataset under  $\sigma_E = 50$  noise: (a) Original image, (b) Noisy image, (c) TRND (PSNR = 29.40 dB, SSIM = 0.8058), (d) DnCNN (PSNR = 29.74 dB, SSIM = 0.8059), (e) S2S (PSNR = 27.47 dB, SSIM = 0.7032), and (f) the proposed algorithm (**PSNR = 30.40 dB, SSIM = 0.8293**).

(c)

(f)



**Fig. 5**: Visualization of denoised image *Barbara* in the Set12 dataset under  $\sigma_E = 50$  noise: (a) Original image, (b) Noisy image, (c) TRND (PSNR = 25.78 dB, SSIM = 0.7450), (d) DnCNN (PSNR = 25.53 dB, SSIM = 0.7361), (e) S2S (PSNR = 26.82 dB, SSIM = 0.7840), and (f) the proposed algorithm (**PSNR = 27.88 dB, SSIM = 0.8243**).



**Fig. 6**: Visualization of denoising for real images by various algorithms: (a) Real image, (b) S2S, and (c) the proposed algorithm.

also a self-supervised model based on deep learning. It can be clearly observed that the restoration results of S2S exhibit oversmoothing, while the proposed method preserves more image details.



**Fig. 7**: Visualization of image *buildings* in the LIVE1 dataset (image 570 size:  $256 \times 256$ ) under compression quality Q = 10 deblocked by 571 various algorithms: (a) Original image, (b) JPEG compressed image 572 (PSNR = 23.83 dB, SSIM = 0.8232), (c) SA-DCT (PSNR = 24.66 573 dB, SSIM = 0.8177), and (d) the proposed algorithm (**PSNR = 25.11** 574 **dB, SSIM = 0.8311**).



**Fig. 8**: Visualization of performance of various algorithms for deblocking image *sailing3* in the LIVE1 dataset (image size:  $256 \times 256$ ) under compression quality Q = 10: (a) Original image, (b) JPEG compressed image (PSNR = 28.61 dB, SSIM = 0.7561), (c) SA-DCT (PSNR = 29.62 dB, SSIM = 0.8310), and (d) the proposed algorithm (PSNR = 29.96 dB, SSIM = 0.8457).

# 524 4.4 Image Deblocking

To further comprehensively validate the effectiveness of the pro- 578 525 526 posed algorithm, experiments were conducted on the JPEG com- 579 pression artifact removal problem [60, 64, 66], which involves 580 527 removing blocky artifacts from JPEG compressed images. Unlike 581 528 529 image denoising tasks, in image deblocking, the additive noise E <sup>582</sup> is quantization noise. Therefore, classic Gaussian models [60] were 583 530 employed to estimate the noise standard deviation  $\sigma_E$ , characteriz- 584 531 ing the noise quantization process. 532

1) Comparison with Classical Image Deblocking Methods: To 533 evaluate the performance of all classical deblocking methods 534 535 involved in the comparison, experiments were conducted on two commonly used benchmark datasets: the LIVE1 dataset [50] and the 536 Classic5 dataset [51]. Similar to image denoising, experiments uti-537 lized two evaluation metrics, PSNR and SSIM. The results are shown 538 in Tables 6 and 7. It is evident that the proposed method outperforms 539 other classical methods on the Classic5 dataset at a compression 540 quality of Q = 40. Particularly, the proposed method significantly 541 outperforms other comparison methods on low compression quality 542 images (Q = 10, 20, 30) and approaches or even surpasses current 543 state-of-the-art methods on high compression quality images (Q =544 40)545

To provide a more intuitive demonstration of the superiority of 546 the proposed algorithm, Figure 7 and Figure 8 respectively illustrate 547 the deblocking results of the images buildings and sailing3 from the 548 LIVE1 dataset at a compression quality of Q = 10. A visual com-549 parison is made between the proposed algorithm and the popular 550 SA-DCT image compression algorithm. From the images, it can be 551 observed that the SA-DCT algorithm fails to fully restore the edge 552 information of the images during the deblocking process. Portions 586 553 of the edges still exhibit blocky artifacts, as highlighted by the red 554 boxes in the figures. In contrast, the proposed algorithm is able to 587 555 effectively remove the blocky artifacts while preserving the edge 588 556 details of the images. 557 589

2) Comparison with DNN-based Image Deblocking Models: To 590
 further demonstrate the superiority of the proposed method in the 591
 image deblocking task, experiments were conducted to compare it 592
 with several deep learning-based methods, including AR-CNN [66], 593

TRND [58], DnCNN [48], DCSC [67], and MDDU [68]. The comparison experiment was conducted on the Classic5 [51] dataset, which is a popular benchmark dataset in the field of image deblocking. Table 8 presents the average PSNR and SSIM results at different compression qualities Q.

The results indicate that the proposed method achieves better results compared to AR-CNN and TRND, while performing comparably to DnCNN, DCSC, and MDDU. It is worth noting that these supervised deep learning methods require large-scale image datasets to train the image deblocking models. It can be observed that if the training image dataset and the distribution of test images are similar or identical, then deep learning models can effectively adapt to different image structures.

Table 8	Average PSNR(db)/SSIM	results comparing	image deblocki	ng
with DNN	I-based methods on datase	et Classic5		

Methods	Q = 10	Q = 20	Q = 30	Average
AP CNN	29.08	31.25	32.60	30.98
AR-CININ	0.7909	0.8514	0.8808	30         Average           50         30.98           60         30.98           08         0.8410           79         31.19           41         0.8473           91         31.31           61         0.8499           96         31.50           82         0.8540           33         31.80           16         0.8592           88         31.32
TPND	29.29	31.48	32.79	31.19
IND	0.7996	0.8581	0.8841	0.8473
DrCNN	29.40	31.63	32.91	31.31
DICININ	0.8026	0.8610	0.8861	0.8499
DCSC	29.62	31.81	33.06	31.50
Dese	0.8096	0.8641	0.8882	0.8540
MDDU	29.95	32.11	33.33	31.80
MDDU	0.8171	0.8689	0.8916	0.8592
Ours	29.43	31.65	32.88	31.32
Juis	0.8047	0.8608	0.8855	0.8503

However, it was observed in the experimental results that deep learning methods tend to cause excessive smoothing in the restored images, especially for texture-rich images, as shown in Figure 10. To further validate this finding, experiments were conducted using eight fingerprint images collected from the NIST dataset as the test benchmark, as shown in Figure 9. The average deblocking results for the eight fingerprint images are presented in Table 9. The proposed method outperforms all other deep learning-based image deblocking methods. Visual comparison results are shown in Figure 11, where it can be observed that the proposed method reconstructs better texture details compared to other methods.

 Table 9
 Average PSNR(db)/SSIM results comparing image deblocking

 with DNN-based methods on fingerprint image dataset

	0	1 0		
Methods	Q = 10	Q = 20	Q = 30	Average
AP CNN	30.23	33.04	34.76	32.68
AR-CININ	0.8859	0.9291	0.9480	0.9210
AR-CNN TRND DnCNN DCSC	30.42	33.19	34.87	32.83
IKND	0.8899	0.9317	0.9492	0.9236
DECNN	30.31	33.07	34.73	32.70
DIICININ	0.8894	0.9308	0.9485	0.9229
DCSC	30.52	33.13	34.78	32.81
Dese	0.8934	0.9330	0.9497	0.9254
MDDU	30.45	32.95	34.35	32.58
MDDU	0.8961	0.9349	0.9508	0.9273
Ours	30.81	33.54	35.20	33.18
Ours	0.8967	0.9344	0.9507	0.9273

## 4.5 Convergence

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Since the proposed algorithm involves block grouping operations, non-convex optimization, and parameter updates, it is challenging to provide theoretical proof for the local convergence of the proposed algorithm. Therefore, this subsection provides experimental evidence to validate the convergence of the proposed algorithm. Five test images were selected from the test dataset, and the process of restoring these images was recorded. Figures 12(a) and 12(b) show



Fig. 9: Eight fingerprint test images selected from the NIST dataset.



**Fig. 10**: Deblocking image*Barbara* in the Classic5 dataset under compression quality Q = 10: (a) Original image, (b) JPEG compressed image (PSNR = 25.78 dB, SSIM = 0.7621), (c) ARCNN (PSNR = 26.89 dB, SSIM = 0.7934), (d) TRND (PSNR = 27.24 dB, SSIM = 0.8104), (e) DnCNN (PSNR = 27.59 dB, SSIM = 0.8161), and (f) the proposed algorithm (**PSNR = 28.26 dB, SSIM = 0.8335).** 601



**Fig. 11**: Performance of algorithms for deblocking image*image 01* <sup>616</sup> <sub>617</sub> in the Classic5 dataset under compression quality Q = 10: (a) Original image, (b) JPEG compressed image (PSNR = 28.41 dB, SSIM <sup>618</sup> = 0.8737), (c) ARCNN (PSNR = 29.57 dB, SSIM = 0.8969), (d) <sup>619</sup> TRND (PSNR = 29.73 dB, SSIM = 0.9008), (e) DnCNN (PSNR = 29.72 dB, SSIM = 0.9019), (f) DCSC (PSNR = 29.82 dB, SSIM = 0.9045), (g) MDDU (PSNR = 29.82 dB, SSIM = 0.9081), and (h) the proposed algorithm (**PSNR = 30.13 dB, SSIM = 0.9083**).

the variation curves of PSNR values during the iterations of the image denoising with noise level  $\sigma_E = 50$  and image deblocking with compression quality Q = 10 algorithms, respectively. It can be clearly observed that as the number of algorithm iterations increases, the PSNR curves of all restored images first monotonically increase 620 and then gradually stabilize. Therefore, it can be proved that the 621 proposed algorithm exhibits good convergence. 622



**Fig. 12**: Convergence of the proposed algorithm with various strategies: (a) how PSNR changes as the number of iterations increases with noise level  $\sigma_E = 50$ , and (b) how PSNR changes as the number of iterations increases with compression quality Q = 10.

### 4.6 Ablation Study

From the objective function 21, it can be seen that the proposed algorithm consists of three main modules: group sparse representation (SR), low-rank self-representation (LR), and structure preservation (SP). In order to investigate the effectiveness of these different modules in the proposed algorithm, this subsection conducts ablation experiments by separately removing the low-rank self-representation guidance module ( $\gamma = 0$ ), the sparse constraint ( $\beta = 0$ ), and the structure preservation term ( $\lambda = 0$ ), to verify the roles played by each module. The ablation experiments are conducted using 13 widely used test images (as shown in Figure ??) and applying these modules to image denoising. The average PSNR results are shown in Table 10. It can be observed that the low-rank self-representation also has the effect of noise removal, and the group sparse representation model guided by low-rank self-representation achieves a significant improvement in denoising performance compared to the single group sparse representation model. Additionally, the introduced structure preservation module in this chapter also contributes to the improvement in performance.

 Table 10
 Average PSNR (dB) results of image denoising and ablation experiments on

 13 commonly used test images

,		0					
Modules	20	30	40	50	75	100	Average
SR	30.26	27.61	27.27	26.70	24.90	23.72	26.74
LR	25.70	23.23	17.59	15.70	12.30	9.18	17.28
SR+LR	32.24	30.13	28.56	27.60	25.66	24.23	28.07
SR+LR+SP	32.20	30.25	28.77	27.68	25.69	24.30	28.15

To further reveal the roles of each module in the proposed algorithm, Figure 13 and 14 respectively demonstrate the denoising results of each module on images *Lena* and *Plants*. As shown

Table 11         Comparison with the method property	posed in [71] in the image denoising 82
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					•		
LIGIC-51	0.9062	0.8764 0.8477 0.8231		0.7687	0.7262	]	
	32.20	30.25	28.77	27.68	25.69	24.30	]
SKLK	0.9046	0.8711	0.8450	0.8198	0.7662	0.7255	100       6         24.40       6         0.7255       6         24.30       6         .7262       6
SRLR	32.23	30.24	28.81	27.73	25.72	24.40	]
Methods	20	30	40	50	75	100	



**Fig. 13**: Visualization of algorithms for denoising image *Lena* under <sup>660</sup><sub>661</sub> noise  $\sigma_E = 75$ : (a) Original image, (b) Noisy image, (c) SR (PSNR <sup>661</sup><sub>661</sub> = 25.04 dB, SSIM = 0.7487), (d) LR (PSNR = 12.31 dB, SSIM = <sup>662</sup><sub>662</sub> 0.1234), (e) SR+LR (PSNR = 25.50 dB, SSIM = 0.7554), and (f) <sup>663</sup><sub>663</sub> SR+LR+SP (PSNR = 25.51 dB, SSIM = 0.7562). <sup>664</sup>



**Fig. 14**: Visualization of algorithms for denoising image *Plants* <sup>681</sup> under noise  $\sigma_E = 75$ : (a) Original image, (b) Noisy image, (c) SR <sup>682</sup> (PSNR = 25.51 dB, SSIM = 0.7116), (d) LR (PSNR = 12.32 dB, <sup>683</sup> SSIM = 0.0820), (e) SR+LR (PSNR = 26.46 dB, SSIM = 0.7270), <sup>684</sup> and (f) SR+LR+SP (PSNR = 26.50 dB, SSIM = 0.7278).

in Figure 13(c) and Figure 14(c), the sparse representation mod-623 ule indeed serves as an effective tool for image restoration, but it 686 624 is susceptible to noise, resulting in some undesirable artifacts such <sup>687</sup> 625 as pseudo structures. Although the low-rank self-representation has 626 a minor effect on denoising, combining the sparse representation 627 model with the low-rank self-representation significantly improves 688 628 the denoising performance, as illustrated in Figure 13(e) and Figure 629 14(e). Similarly, by introducing the structure preservation module, it <sup>689</sup> 630 can be observed from Figure 13(f) and Figure 14(f) that the images 631 retain well-preserved texture details. 632

In addition, our previous work[71] also integrated the sparsity 690 and low-rank self-representation properties of images. However, that method was based on sparse coding for self-representation learning, 691 leading to suboptimal solutions because each set of sparse coding 692

coefficients could not guarantee low-rank self-representation properties. In this ablation experiment, we compared the proposed method
with the method proposed in our previous work. The PSNR and
SSIM results are shown in Table 11. From the table, it can be seen
that the proposed algorithm outperforms the previous method in
terms of SSIM, which is closer to the human visual system's intuitive
evaluation mechanism for image quality. This further demonstrates
the effectiveness of the proposed method.

# 5 Conclusion

Most existing group sparse representation models overlook the similarity relationships between non-local image blocks, while leveraging these relationships can effectively preserve texture information in images. Group sparse representation models apply simple sparsity constraints only to each image block within a group, neglecting other beneficial characteristics of images. To further explore the intrinsic properties of natural images, this chapter proposes a lowrank self-representation guided group sparse representation image restoration algorithm. Specifically, in addition to utilizing the group sparse representation regularization term, this algorithm also utilizes the low-rank self-representation property to jointly estimate the reconstructed image block groups. This low-rank self-representation model can better characterize the intrinsic properties of natural images, namely the correlation between similar image blocks. Additionally, to ensure that the learned sparse representation also preserves the similarity structure between image blocks, the algorithm also performs self-representation learning on the sparse representation, making the self-representation obtained as close as possible to the original self-representation between image blocks. Extensive experimental results demonstrate that this algorithm performs excellently in image restoration tasks such as image denoising and image deblocking.

However, this research still has limitations because it can only achieve excellent performance in scenarios with additive Gaussian noise. In future research, we will consider other noise distributions or multiplicative noise. Furthermore, since the proposed algorithm is self-supervised, it only utilizes the intrinsic information of the degraded images themselves without leveraging external prior knowledge, which limits the improvement of the current algorithm's performance. This is also a challenge that needs to be addressed in future work.

# Author contributions

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Yaxian Gao: Data curation; Formal analysis; Writingaloriginal draft. Zhaoyuan Cai: Data curation; Formal analysis; Writingaloriginal draft. Xianghua Xie: Conceptualization; Writing-review & editing. Jingjing Deng: Conceptualization; Writing-review & editing. Zengfa Dou: Resources; Validation. Xiaoke Ma (corresponding author): Conceptualization; Funding acquisition; Supervision; Writing-review & editing.

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# Conflict of interest statement

The authors do not have any possible conflicts of interest.

## Data availability statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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Table 3 Average PSNR(dB) results of image denoising compared with classical methods on DND dataset

Applied	Evaluated	BM3D	LSSC	EPLL	LPCA	NCSR	aGMM	NLN-CDR	SNSS	Ours
RAW	RAW	46.52	45.04	46.32	46.22	42.04	45.58	42.47	43.58	46.63
RAW	sRGB	37.91	37.11	37.37	36.55	36.28	36.02	36.23	35.99	37.99
RAW+VST	RAW	47.05	46.98	46.85	46.72	45.58	45.09	45.39	44.98	47.01
RAW+VST	sRGB	36.78	36.85	35.89	36.56	36.12	36.84	36.28	36.44	36.91

Table 4 Average SSIM results of image denoising compared with classical methods on DND dataset

Applied	Evaluated	BM3D	LSSC	EPLL	LPCA	NCSR	aGMM	NLN-CDR	SNSS	Ours
RAW	RAW	0.9701	0.9655	0.9583	0.958	0.9537	0.962	0.9674	0.9532	0.9724
RAW	sRGB	0.9218	0.919	0.9012	0.9242	0.9273	0.9242	0.9101	0.9154	0.9313
RAW+VST	RAW	0.9542	0.9172	0.9143	0.9111	0.9204	0.9044	0.9174	0.9077	0.9502
RAW+VST	sRGB	0.9135	0.8995	0.9045	0.9235	0.9005	0.9141	0.9006	0.9133	0.9258

 Table 6
 Average PSNR (dB) results of image deblocking compared with the classic method on the datasets LIVE1 and Classic5 (image size:  $256 \times 256$ )

LIVEI dataset (image size: 256 × 256)											
Q	JPEG	BM3D	SA-DCT	PC-LRM	ANCE	WNNM	SSR-QC	COGL	JPG-SR	NSSRC	Ours
10	26.37	27.16	27.23	27.24	27.24	27.25	27.26	27.38	27.29	27.43	27.45
20	28.55	29.21	29.24	29.28	29.29	29.29	29.33	29.46	29.37	29.53	29.55
30	29.86	30.45	30.48	30.54	30.57	30.55	30.60	30.74	30.75	30.85	30.86
40	30.80	31.35	31.37	31.45	31.51	31.46	31.57	31.66	31.71	31.82	31.81
Average	28.90	29.54	29.58	29.63	29.65	29.64	29.69	29.81	29.78	29.91	29.92
<b>Classic5 dataset</b> (image size: $256 \times 256$ )											
Q	JPEG	BM3D	SA-DCT	PC-LRM	ANCE	WNNM	SSR-QC	COGL	JPG-SR	NSSRC	Ours
10	27.57	28.69	28.72	28.79	28.77	28.78	28.83	28.93	28.78	28.97	29.03
20	29.90	30.87	30.89	30.98	30.96	30.98	31.07	31.13	31.12	31.23	31.26
30	31.21	32.07	32.09	32.21	32.22	32.21	32.34	32.39	32.50	32.55	32.54
40	32.14	32.94	32.96	33.09	33.16	33.10	33.30	33.29	33.46	33.54	33.51
Average	30.21	31.14	31.17	31.27	31.28	31.27	31.39	31.43	31.47	31.57	31.59

**Table 7**Average SSIM results of image deblocking compared with the classic method on the datasets LIVE1 and Classic5 (image size:  $256 \times 256$ )

<b>LIVE1 dataset</b> (image size: $256 \times 256$ ))											
Q	JPEG	BM3D	SA-DCT	PC-LRM	ANCE	WNNM	SSR-QC	COGL	JPG-SR	NSSRC	Ours
10	0.7611	0.7877	0.7869	0.7835	0.7879	0.7824	0.7859	0.7957	0.7931	0.7956	0.7971
20	0.8423	0.8591	0.8571	0.8550	0.8585	0.8542	0.8576	0.8642	0.8630	0.8645	0.8651
30	0.8791	0.8917	0.8903	0.8892	0.8913	0.8888	0.8913	0.8952	0.8967	0.8963	0.8970
40	0.8998	0.9103	0.9093	0.9089	0.9102	0.9087	0.9099	0.9129	0.9145	0.9148	0.9144
Average	0.8456	0.8622	0.8609	0.8592	0.8620	0.8585	0.8612	0.8670	0.8668	0.8678	0.8684
<b>Classic5 dataset</b> (image size: $256 \times 256$ ))											
Q	JPEG	BM3D	SA-DCT	PC-LRM	ANCE	WNNM	SSR-QC	COGL	JPG-SR	NSSRC	Ours
10	0.7715	0.8087	0.8060	0.8043	0.8081	0.8033	0.8094	0.8134	0.8134	0.8168	0.8195
20	0.8519	0.8753	0.8728	0.8723	0.8730	0.8714	0.8740	0.8751	0.8796	0.8802	0.8807
30	0.8844	0.9018	0.9002	0.9003	0.9002	0.8998	0.9017	0.9012	0.9063	0.9060	0.9061
40	0.9036	0.9178	0.9168	0.9170	0.9172	0.9167	0.9180	0.9175	0.9225	0.9226	0.9217
Average	0.8529	0.8759	0.8740	0.8735	0.8746	0.8728	0.8758	0.8768	0.8805	0.8814	0.8820